Fidelity INTERNATIONAL

Personal Investing

Investment Funds

Application form for Private Individuals investing outside an ISA and/or Pension.

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters
- Mark your answers with a cross in the appropriate box like this: ☒
- If you make a mistake, please correct it but don't use correction fluid
- **You must complete all sections of this form, except those marked 'if applicable'. Not doing so could delay your application.**
- If you have any queries about this form please call our customer services team on **0800 41 41 61**.
- **Please note** if you are not the beneficial owner of this investment you will need to complete a separate form available from www.fidelity.co.uk/forms.
  Further information is available in the 'About you' section.

What's next?

Please send your completed application form to:

Fidelity
PO Box 391
Tadworth, KT20 9FU

We will send you a Confirmation of Transaction showing details of your investment.

1 About you

To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information you've already given us. This will create a record on your credit report, which will only be visible to you and will not affect your credit score.

Account Number or Customer Reference Number (if applicable)

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Title

☐ Mr ☐ Mrs ☐ Ms Other: ☐☐☐☐☐☐☐☐☐☐☐

Surname

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First and other names in full

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Gender

☐ Male ☐ Female

Your address - 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee or British Forces Posted Overseas (BFPO) or the spouse/civil partner of a Crown Employee or British Forces Posted Overseas (BFPO).

House number/name

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Street, city, county and country

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Crown employee?
If your address is outside of the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee, please mark an X in this box. ☐

Telephone number

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Alternate telephone number

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Email address

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1 About you (continued)

Date of birth (DDMMYYYY)

Town of Birth

Country of Birth

National Insurance Number
(this can be found on a payslip or a letter from HMRC)

No National Insurance Number?
If you have never been issued with a National Insurance Number please mark an X in the box.

Are you a UK National only? (Please mark an X in the box)

Are you a UK National and National of one or more other countries? (tick box and list all other countries below)

Are you a National of Non-UK countries only? (tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Driving Licence number (If applicable - 18 characters as shown on your photocard)

Employment Status

☐ Employed ☐ Self-Employed ☐ Full-Time education ☐ Unemployed ☐ Pensioner

Source of this investment

☐ Income from salary ☐ Inheritance ☐ Savings from income

☐ Divorce Settlement ☐ Gift

☐ Sale of Property ☐ Sale of Investments/transfer

☐ Other (Please specify)

New Account Designation

If you hold existing Fidelity accounts excluding ISA you must enter a designation to identify this new account, otherwise this investment will be treated as a top up into your existing Fidelity account. Please note that you cannot use the word 'trust' in the designation. To open a trust account please use the Investment Funds Application form for Company, Scheme and Trust Accounts.

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1 About you (continued)

Are you a resident in the UK for tax purposes? If yes please mark this box ☐

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields

First country | **First country tax identifier**

I do not hold a tax identifier for this residency ☐ If correct please mark an X in this box

Additional country | **Additional country tax identifier**

I do not hold a tax identifier for this residency ☐ If correct please mark an X in this box

Additional country | **Additional country tax identifier**

I do not hold a tax identifier for this residency ☐ If correct please mark an X in this box

Confirmation of Beneficial Owner

Please review the appropriate option that indicates your beneficial ownership relationship and proceed as directed:

a) I am personally entitled to the assets (cash and investments) in this account and hold them for my own benefit. Please mark an X in the box and proceed to Section 2. ☐

b) I hold the assets in this account exclusively for someone else and will take no personal benefit from the account. This form is not suitable for you, please complete one of our Trust forms (available on our website)

2 Joint holder details

Would you like Joint Holders on this account? ☐ If yes please mark this box

All holders must sign the back page of this form. The Joint Holder Supplement Form must also be completed and returned with this application form.

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3 Your investment choices

- If you choose to make regular saving payments then you must complete the mandate details in Section 4. There is a monthly minimum investment of £25 for each fund and £50 per application. **Any regular saving payments instructions given here will override the existing regular saving payment details for the account.**
- There is a minimum lump sum investment of £1,000.
- Important: **We only accept payment from the account holder,** unless in exceptional circumstances. Lump sum payments should be made by Cheque, payable to Fidelity. **Cheques should be issued from your personal or joint bank account.** Cheques must be payable to Fidelity and include the account holders name e.g. 'Pay Fidelity - re John Smith'. If an application is accompanied by a bankers draft or building society cheque, the reverse of the draft / cheque must contain details of the original account debited; full name, account number and the sort code. Cheques must be endorsed with the banks official stamp.

The bank mandate details will not be used as a debit instruction in order to subscribe to this investment.

Please provide your fund choices and the amounts you want to invest below.

It's important to write the fund code and name clearly inside the boxes provided using capital letters – we use the code to determine your fund choice. You can find details of all investment options and fund codes at fidelity.co.uk/funds.

This form is for investing into funds only. For brokerage investments please go to Fidelity.co.uk to use our online service.

If you wish to invest in a regular savings plan, please enter the total amount in £ in the Regular Savings Amount box, followed by a percentage split for your chosen funds in the below table. The percentage split should total 100 %.

Regular savings (£)

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Fund code	Fund name	Lump sum (£)	Regular savings %
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	Cash	.	.
	Total	.	100 %

We collect regular savings payments on the 1st, 10th, 17th, and 25th of the month. We will start your regular savings plan on the next available date and collect once a month thereafter. Following the first payment, you can go to fidelity.co.uk should you wish to amend the date or frequency of your regular savings plan.

When we open your new account any income paying funds will automatically pay income to cash. This helps cover fees and charges. More income options are available online. Please go to fidelity.co.uk for details.

4 Bank account details

Any bank account details given in this section will override existing bank details that we may hold for you.
We do not accept payments from or make payments to third parties.
Please make sure that you sign and date the instruction below.

Fidelity INTERNATIONAL™

Instruction to your bank or building society to pay by Direct Debit

DIRECT Debit

Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth, KT20 9FU.

Name and full postal address of your bank or building society

To: The Manager Bank/building society

Address

Postcode

Name(s) of account holder(s)

Bank/building society account number

Branch sort code

Service User Number

4	4	6	1	3	8

Reference

Instruction to your bank or building society
Please pay Financial Administration Services Ltd Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

Signature(s)

Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

DDI2

Fidelity does not accept instructions for payments to be made to an account other than the client's own personal account.
If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.

5 Declaration and signature

I/We understand that the information I/we provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Client Terms.

I/We declare that:

- By signing this form I/we confirm that I am not/ we are not a US citizen, that I am not/we are not resident in the US, and that I do not/we do not have an obligation to pay tax to the US tax authorities on my/our worldwide income.
- I/We have read and saved or printed the latest version of:
  - the Key Features Document - Doing Business with Fidelity incorporating the Fidelity Client Terms
  - the key information document
  - the illustration document

  Important Notice: If you have not received one or all of the documents listed above relating to the fund(s) you wish to invest in, please go to **fidelity.co.uk** or contact us on 0800 41 41 61 Monday to Friday 8.30am to 5.30pm.
- I/We accept the Fidelity Client Terms
- All subscriptions made, and to be made, belong to me/us, and that I am/we are 18 years of age or over.
- The information given by me/us is correct to the best of my/our knowledge, and I will inform Fidelity immediately of any changes to the information contained therein.

Signing on behalf of others

If you are an attorney signing on behalf of the applicant, please attach the following information. (Please note this is only required if this is an application for a new customer (i.e. Where we have not previously received the documents):

- an original sealed Court of Protection/Enduring Power of Attorney stamped by the Office of the Public Guardian (where the client is mentally or physically incapacitated); or
- Power of Attorney with a signed letter confirming that the client is prevented from signing the application as a result of their physical incapacity (in cases of physical incapacity only).

Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, justice of the peace, notary public, commissioner of oaths or stockbroker.

Signatures of all applicants

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Primary account signature

Print name

Second account signature

Print name

Third account signature

Print name

Fourth account signature

Print name

Date signed

Note: For applications with joint holders the Joint Holder Supplement Form must also be completed and returned with this application form.

How can Fidelity's experts help me?

We want to help you stay informed about how to make the most of your savings. To tell us how you want to receive your expert financial insights, simply visit **www.fidelity.co.uk/preferences** now.

Online Reporting and Documentation

Register for online account management and receive all your contract notes, statements and valuations, updates to terms and conditions, and other documentation electronically online. Go to **fidelity.co.uk/register**

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